

AD POLOSA — SAPIENZA UNIVERSITY OF ROME

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# SYMMETRIES IN PHYSICS

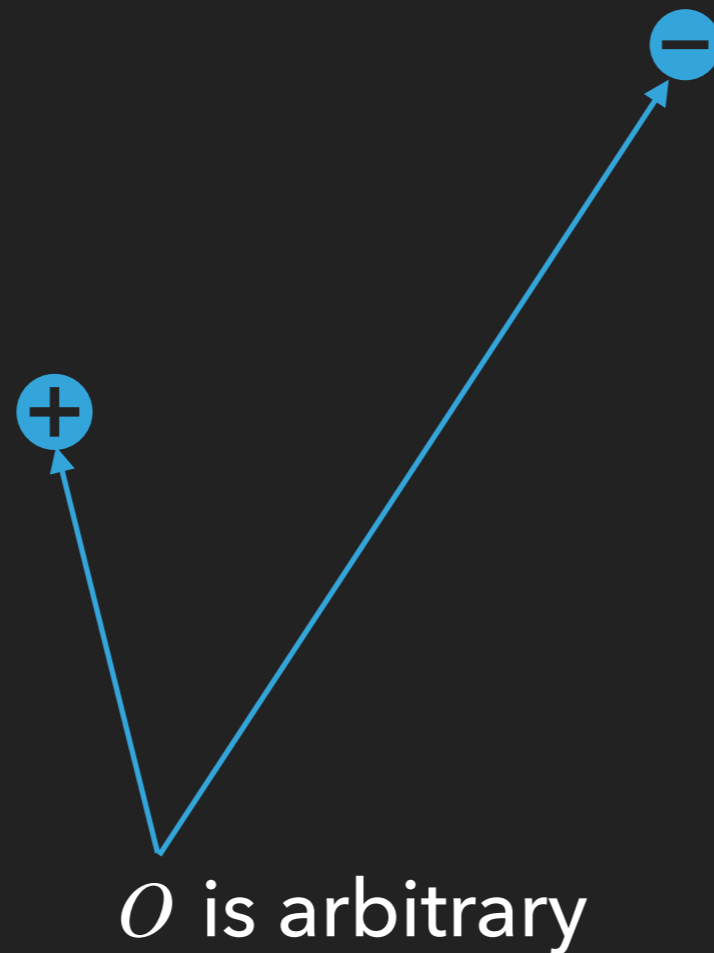
A BRIEF ACCOUNT

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**“MATHEMATICS IS THAT PART OF PHYSICS IN WHICH EXPERIMENTS ARE LESS EXPENSIVE”**

**V.I. Arnold**

Assumption: *the absolute position is non-observable.*

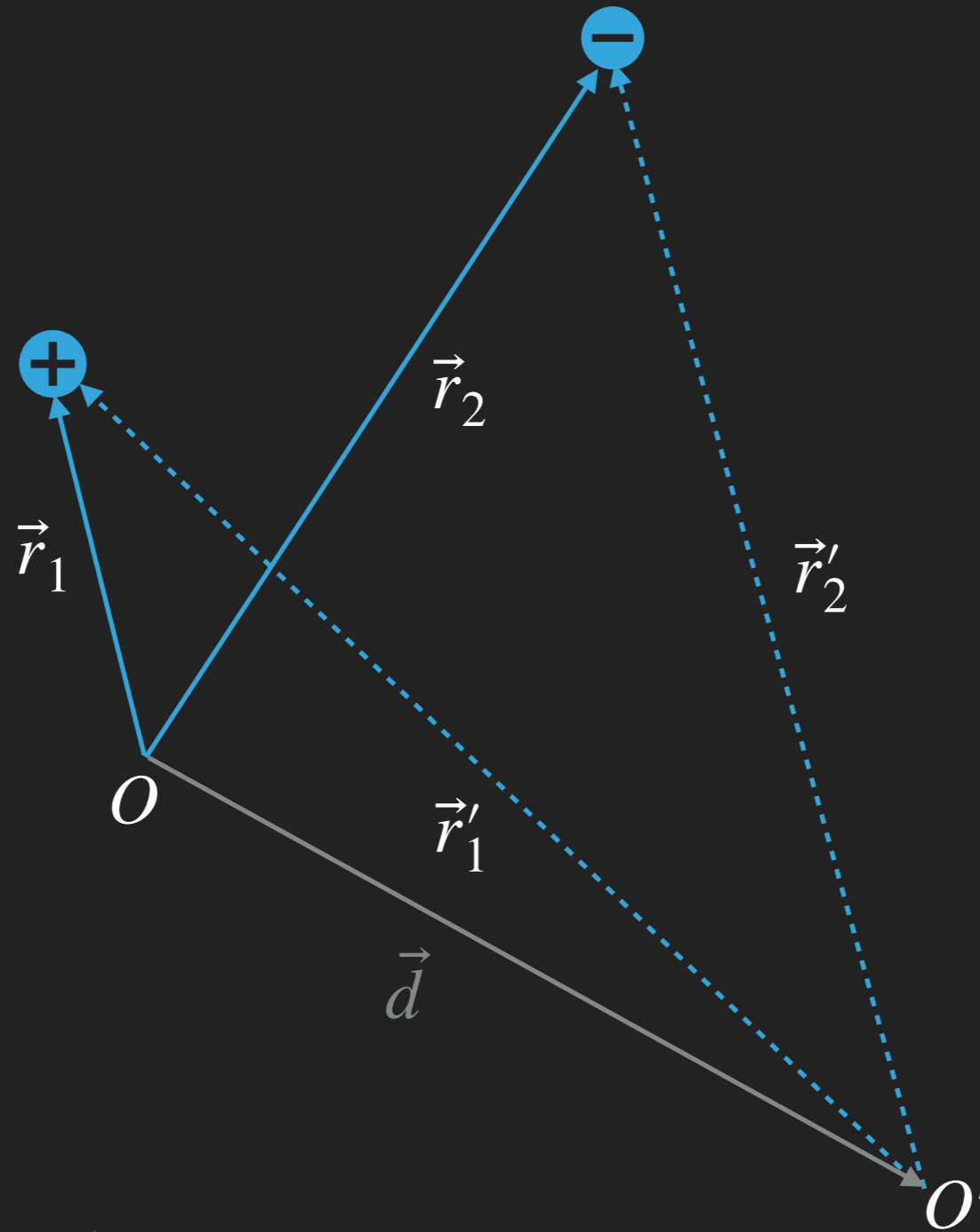


$V$  = Interaction energy independent of  $O$ .

$\Rightarrow V$  invariant under space-translations

$$\Rightarrow V = V(\vec{r}_1 - \vec{r}_2)$$

$$V(\vec{r}_1 - \vec{r}_2) = V(\vec{d} + \vec{r}'_1 - (\vec{d} + \vec{r}'_2)) = V(\vec{r}'_1 - \vec{r}'_2)$$



$$\frac{d\vec{P}}{dt} = -(\vec{\nabla}_1 + \vec{\nabla}_2)V = 0 \Rightarrow \vec{P} = \text{const.}$$

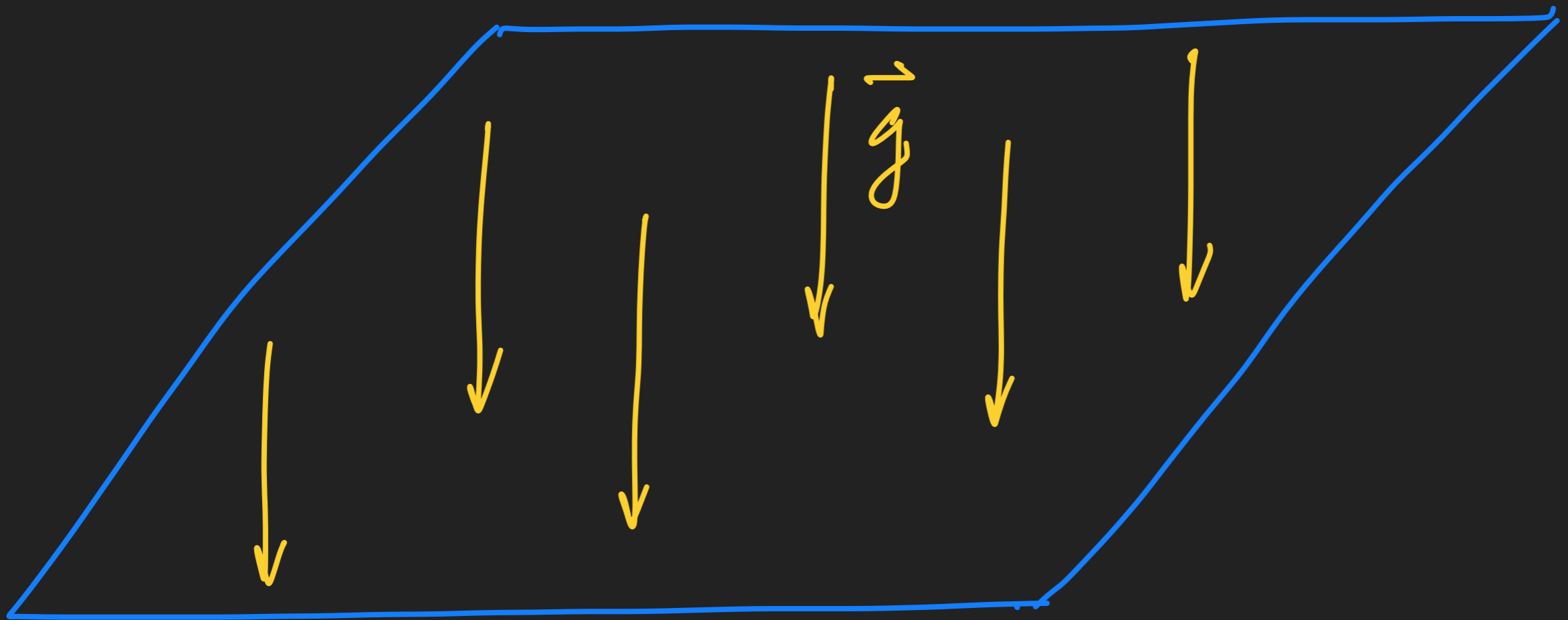
This means: invariance under space translations  $\Rightarrow$   
conservation of total momentum.

Non-Observables	Symmetry Transformation	Conservation Law
Absolute Spatial Position	$\vec{r} \rightarrow \vec{r} + \vec{d}$	Momentum
Absolute Spatial Direction	$r_i \rightarrow R_{ij} r_j$	Angular Momentum
Absolute Velocity	$x_\mu \rightarrow L_{\mu\nu} x_\nu$	Generators of Lorentz Group
Absolute Right/Left	$\vec{r} \rightarrow -\vec{r}$	Parity
Absolute Sign of Charge	$e \rightarrow -e$	Charge Conjugation
Absolute Time	$t \rightarrow t + \delta$	Energy

## THE GRAVITY ACCELERATION

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The gravity acceleration  $\vec{g}$ , pointing **down**, is an 'emergent' notion. This situation is not symmetric at all.

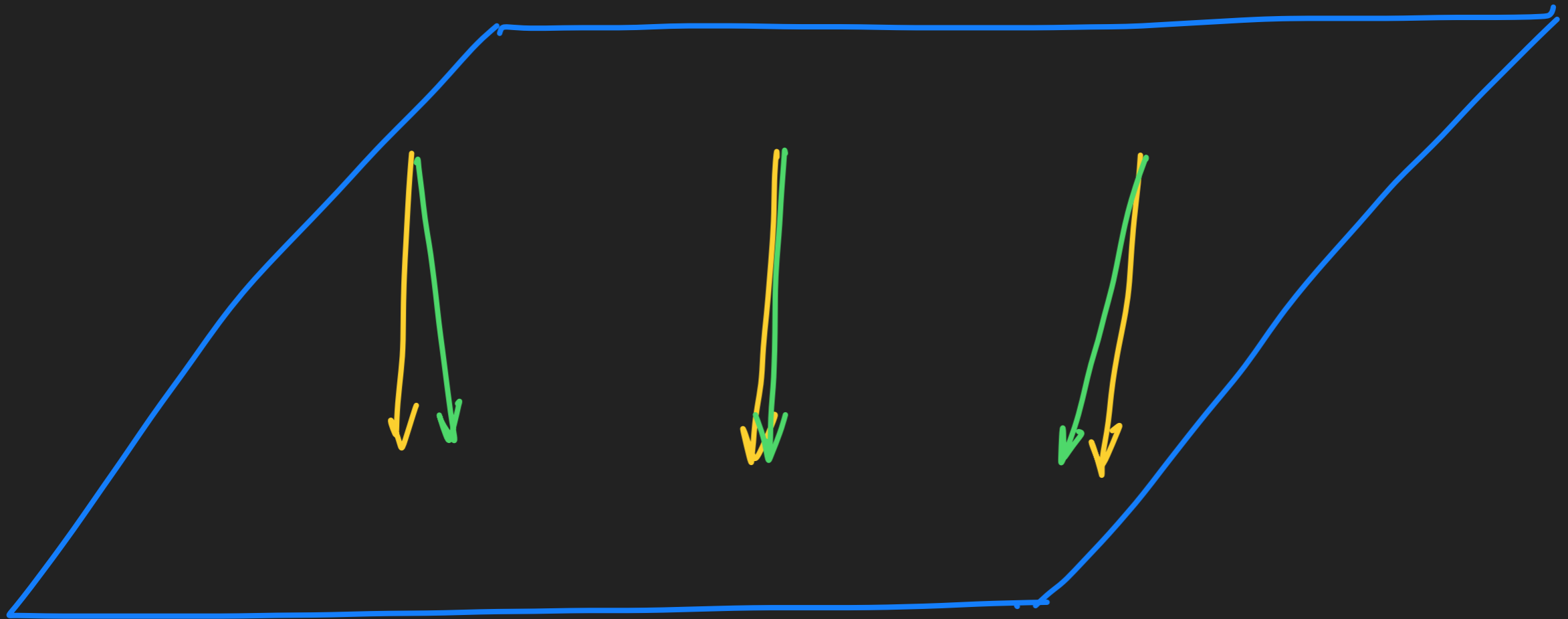


$$|\vec{g}| = 9.8 \text{ m/sec}^2$$

## THE GRAVITY ACCELERATION

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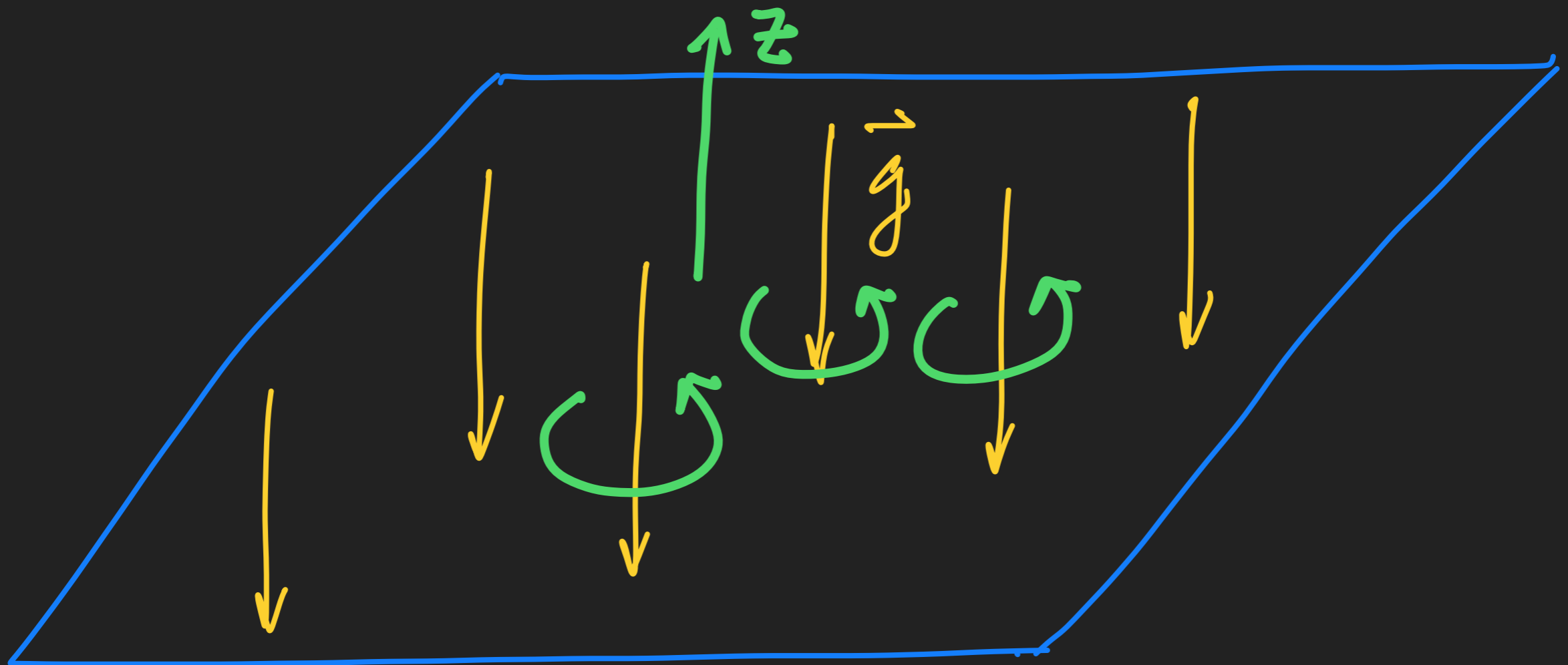
Indeed gravity acceleration vectors are not parallel. They aim to the center of the earth.





## ROTATION INVARIANCE IS (PARTIALLY) BROKEN

Near the surface of earth we have  $\vec{F} = m\vec{g}$ , but  $\vec{g}$  is fixed, and points down. Then  $\vec{F}$  is *not invariant* under *all rotations*, but only under those rotations around the vertical axis.



However, Newton's law of gravitation is *rotation invariant*.

(here  $m\vec{a}$  corresponds to a rotationally invariant term)

$$F = G \frac{m M}{r^2}$$

where  $r$  is the distance to the *center of the earth*.

This is a *symmetry* of a *fundamental law of physics*.

# VECTORS AND ROTATIONS

A *vector*  $\vec{F}$  is a set of numbers  $F_i$  which has given transformation properties under *rotations*

$$F_i \rightarrow F'_i = \sum_{j=1}^3 R_{ij} F_j$$

$$R = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

The equation  $\vec{F} = m\vec{a}$  is a *relation between vectors*, both transforming with  $R$ . This equation is rotation invariant if both  $\vec{a}$ ,  $\vec{F}$  transform as a vectors (no  $\vec{g}$  pointing up)

$$\vec{F}' = m\vec{a}'$$

# RECOVERING ROTATION INVARIANCE

Invariance under rotations is *recovered* if in place of  $\vec{F} = m\vec{g}$  we write  $\vec{F}$  as the *gradient of the gravity potential*

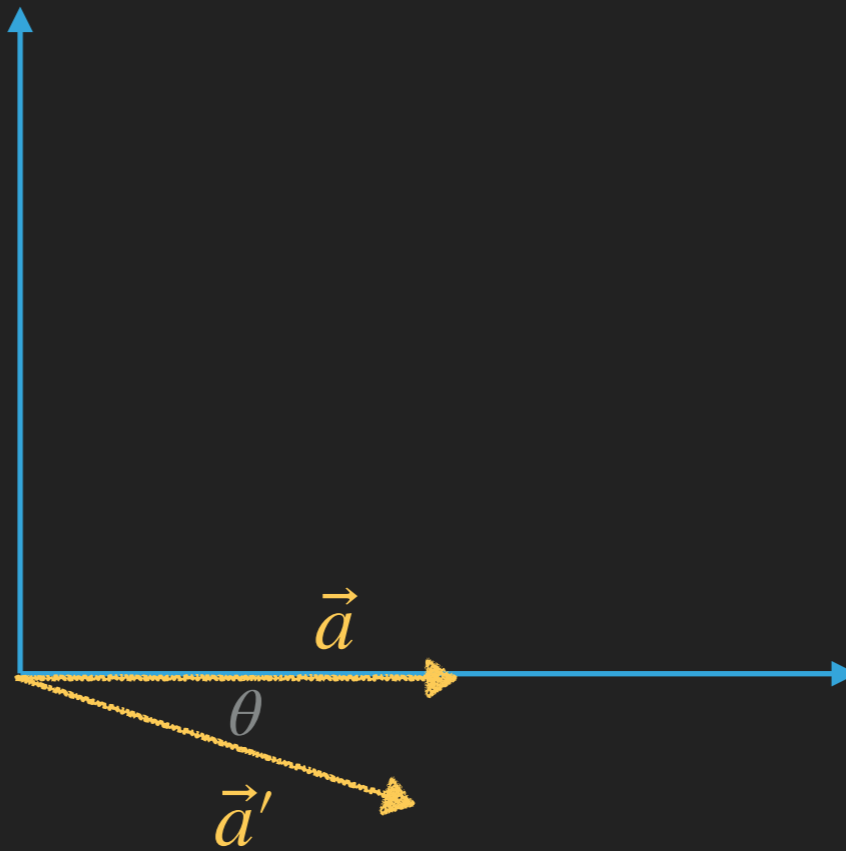
$$m\vec{a} = -\vec{\nabla} \left( G \frac{mM}{r} \right)$$

where the gradient operator is

$$\vec{\nabla} = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right)$$

ROTATE THE VECTOR  $\vec{a}$  INTO  $\vec{a}'$

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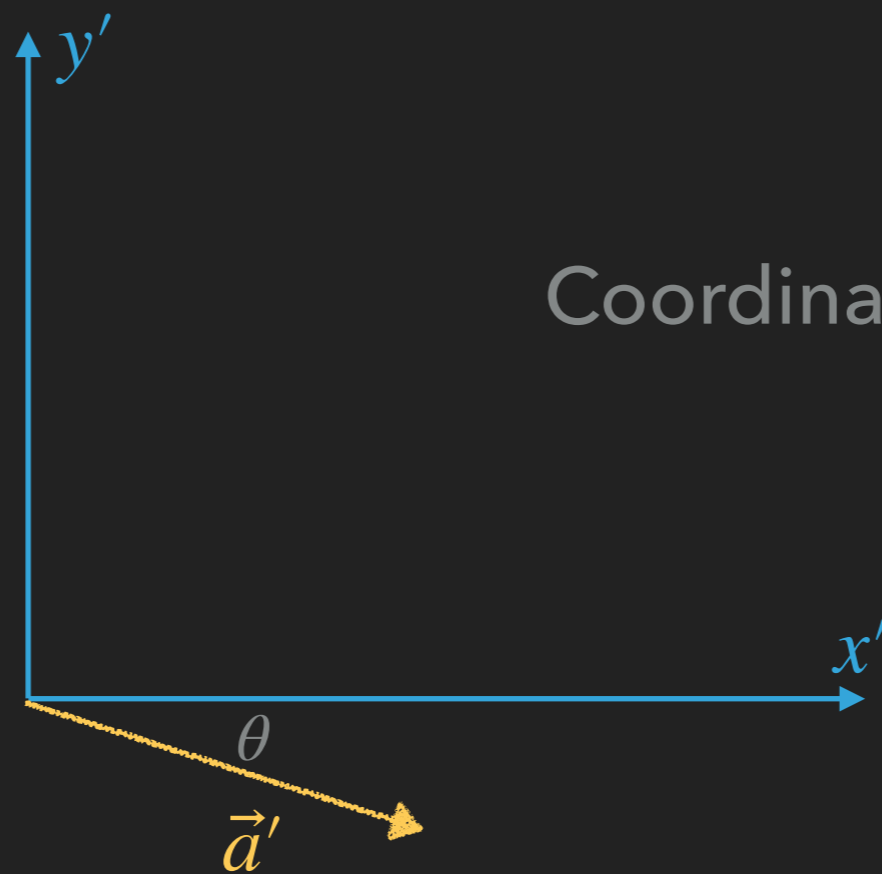


$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos \theta \\ -\sin \theta \end{pmatrix}$$

$R(\theta)$                    $\vec{a}$                    $\vec{a}'$

## ROTATE THE VECTOR $\vec{a}$ INTO $\vec{a}'$

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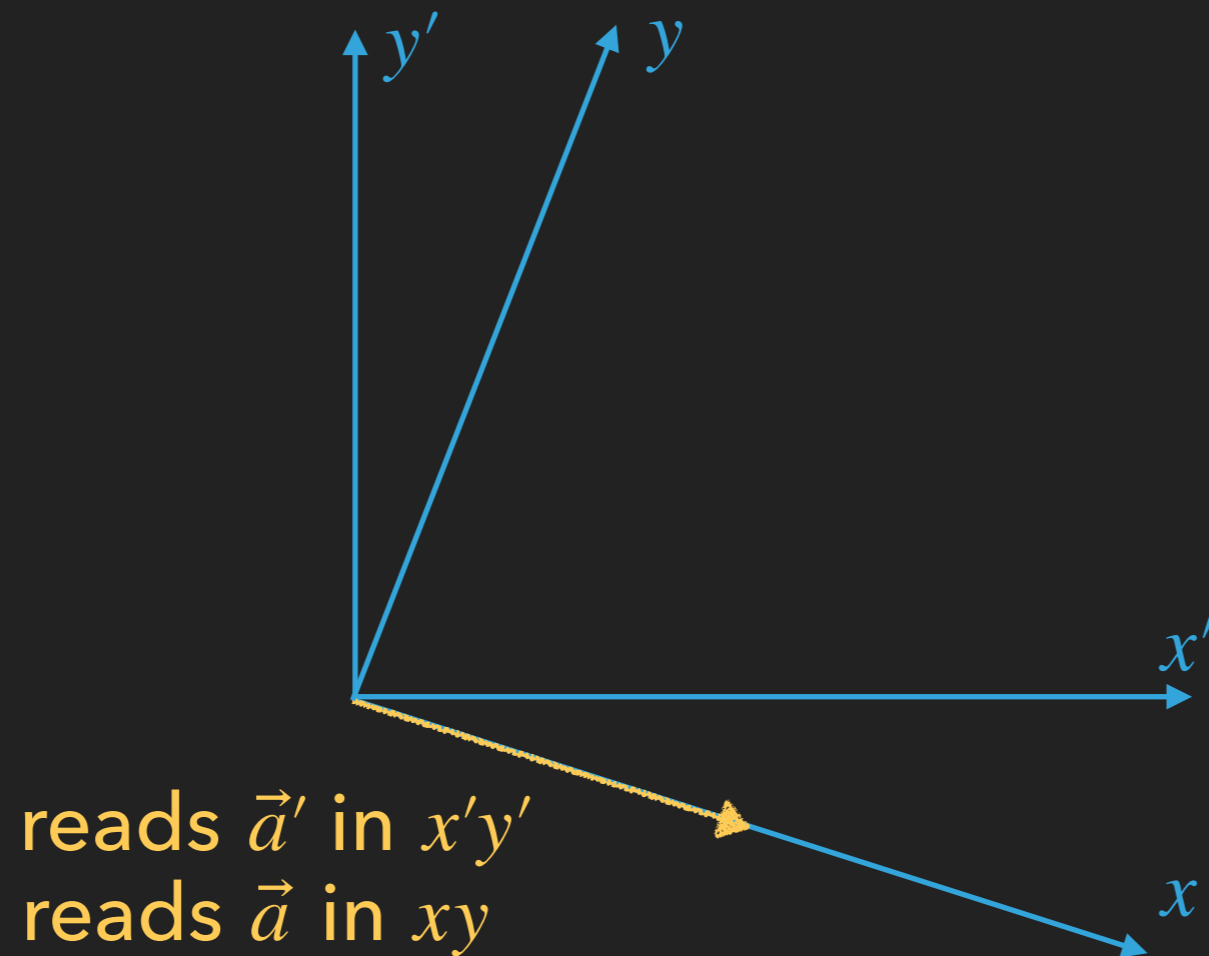
Coordinates of  $\vec{a}'$  in  $x'y'$

$$\vec{a}' = \begin{pmatrix} x' \\ y' \end{pmatrix} \equiv \begin{pmatrix} \cos \theta \\ -\sin \theta \end{pmatrix}$$



# ROTATE THE FRAME $xy$ IN THE FRAME $x'y'$

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$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \cos \theta \\ -\sin \theta \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$\tilde{R}(\theta)$        $\vec{a}'$  in  $x'y'$        $\vec{a}$  in  $xy$

The transpose works as the inverse transformation

## CHANGE OF COORDINATES

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$$\tilde{R}(\theta)$$

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix}$$

$$x = \cos \theta x' - \sin \theta y'$$

$$y = \sin \theta x' + \cos \theta y'$$

$$\frac{\partial}{\partial x'} = \frac{\partial x}{\partial x'} \frac{\partial}{\partial x} + \frac{\partial y}{\partial x'} \frac{\partial}{\partial y} = \cos \theta \frac{\partial}{\partial x} + \sin \theta \frac{\partial}{\partial y}$$

$$\frac{\partial}{\partial y'} = \frac{\partial x}{\partial y'} \frac{\partial}{\partial x} + \frac{\partial y}{\partial y'} \frac{\partial}{\partial y} = -\sin \theta \frac{\partial}{\partial x} + \cos \theta \frac{\partial}{\partial y}$$

Using the chain rule for derivatives we got

$$\underbrace{\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}}_R \begin{pmatrix} \frac{\partial}{\partial x} \\ \frac{\partial}{\partial y} \end{pmatrix} = \begin{pmatrix} \frac{\partial}{\partial x'} \\ \frac{\partial}{\partial y'} \end{pmatrix}$$

which is the *same* matrix  $R$  rotating  $\vec{a}$  – the extension to three dimensions follows.

Apply the same  $R$  to both sides of the equation for the gravity acceleration

$$m R_{ij} a_j = - R_{ij} \nabla_j \left( G \frac{m M}{r} \right)$$

$$m \vec{a}' = - \vec{\nabla}' \left( G \frac{m M}{r} \right)$$

Notice that the *distance  $r$  is invariant under rotation* being

$$\text{(scalar product)} \quad r^2 = \vec{r} \cdot \vec{r} = \sum_i r_i r_i$$

$$r'^2 = \sum_i \sum_j R_{ij} r_j \sum_k R_{ik} r_k = \sum_{i,j,k} r_j (\tilde{R})_{ji} R_{ik} r_k = r^2$$

The equation for the gravity acceleration reads the same in every rotated system.

$$m \vec{a}' = - \vec{\nabla}' \left( G \frac{m M}{r} \right)$$

# ROTATION INVARIANCE OF MAXWELL EQUATIONS

## THE 'VECTOR' PRODUCT OF TWO VECTORS

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$$(\vec{A} \times \vec{B})_i \equiv \sum_{j,k=1}^3 \epsilon_{ijk} A_j B_k$$

$$\epsilon_{123} = 1 \qquad \epsilon_{132} = -1$$

$$\epsilon_{231} = 1 \qquad \epsilon_{213} = -1$$

$$\epsilon_{312} = 1 \qquad \epsilon_{321} = -1$$

$$(\vec{A} \times \vec{B})_1 = (A_2 B_3 - A_3 B_2)$$

$$(\vec{A} \times \vec{B})_2 = (A_3 B_1 - A_1 B_3)$$

$$(\vec{A} \times \vec{B})_3 = (A_1 B_2 - A_2 B_1)$$

Maxwell's equations *are also invariant under rotations*,  
e.g.

$$\vec{\nabla} \times \vec{E} = - \frac{\partial \vec{B}}{\partial t}$$

$$R_{i'i} B_i = B'_{i'}$$

$$R_{i'i} (\epsilon_{ijk} \nabla_j E_k) = R_{i'i} \epsilon_{ijk} (\nabla'_{j'} R_{j'j}) (E'_{k'} R_{k'k}) = \vec{\nabla}' \times \vec{E}'$$

since

$$\epsilon_{ijk} R_{i'i} R_{j'j} R_{k'k} = \det(R) \epsilon_{i'j'k'} = \epsilon_{i'j'k'}$$

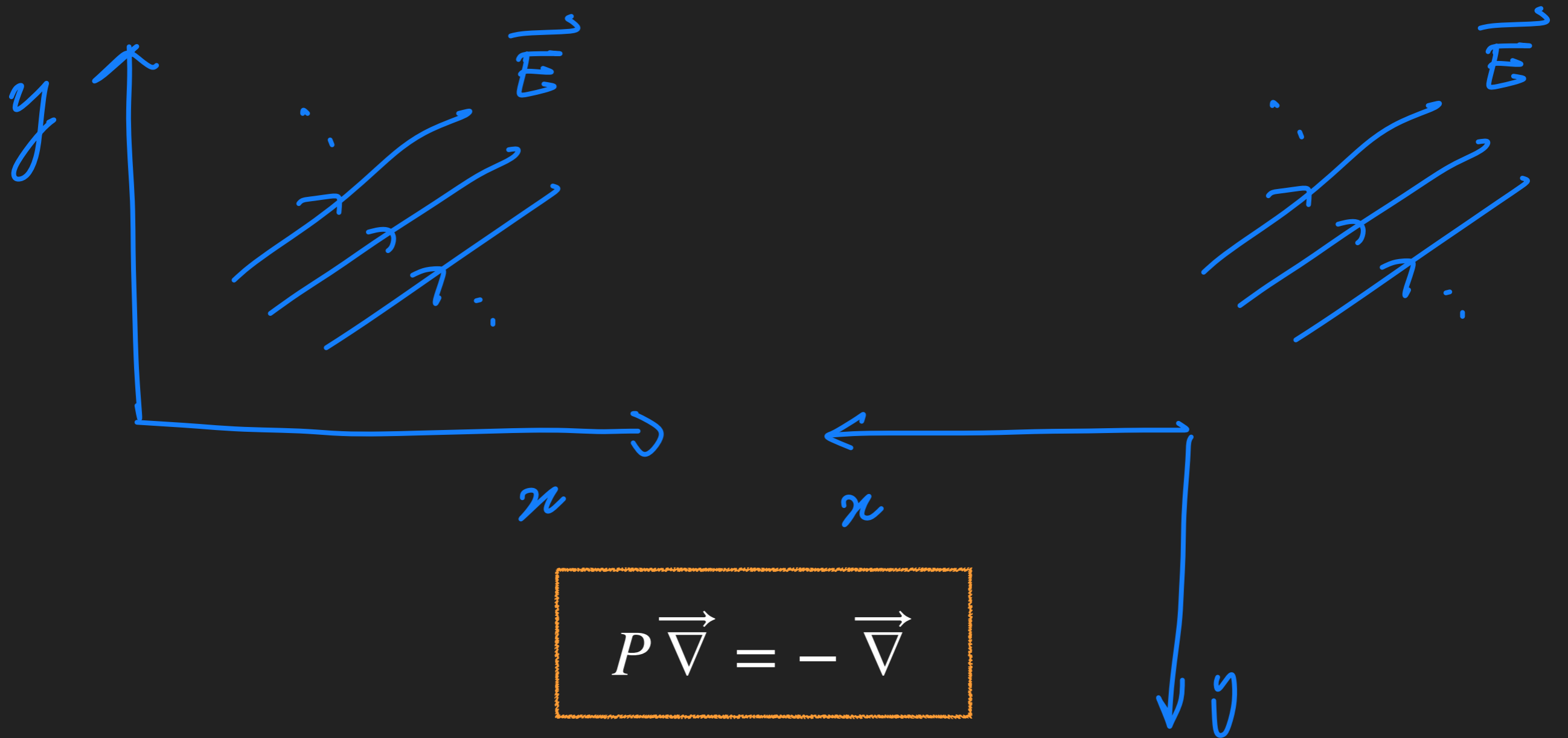
$$\vec{\nabla}' \times \vec{E}' = - \frac{\partial \vec{B}'}{\partial t}$$



**PARITY**

We can define *parity*  $P$  as

$$P\vec{E}(\vec{x}, t) = -\vec{E}(-\vec{x}, t)$$



This means that  $\vec{\nabla} \times \vec{E}$  is left invariant by parity. If the magnetic field were invariant under parity (an **axial**-vector, parity even) we would say that the Maxwell equation at hand is also **parity-invariant**. Indeed

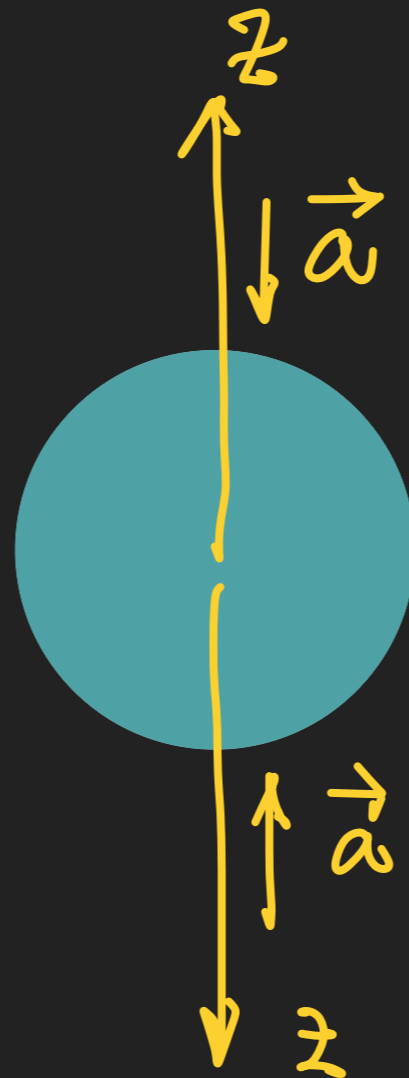
$$\vec{B} = \vec{\nabla} \times \vec{A}$$

is parity invariant,  $\vec{A}$  being a vector – the ‘vector potential’. Recall that  $\vec{E} = -\partial\vec{A}/\partial t - \vec{\nabla}\phi$  and  $\vec{E}$  is a vector (parity odd).

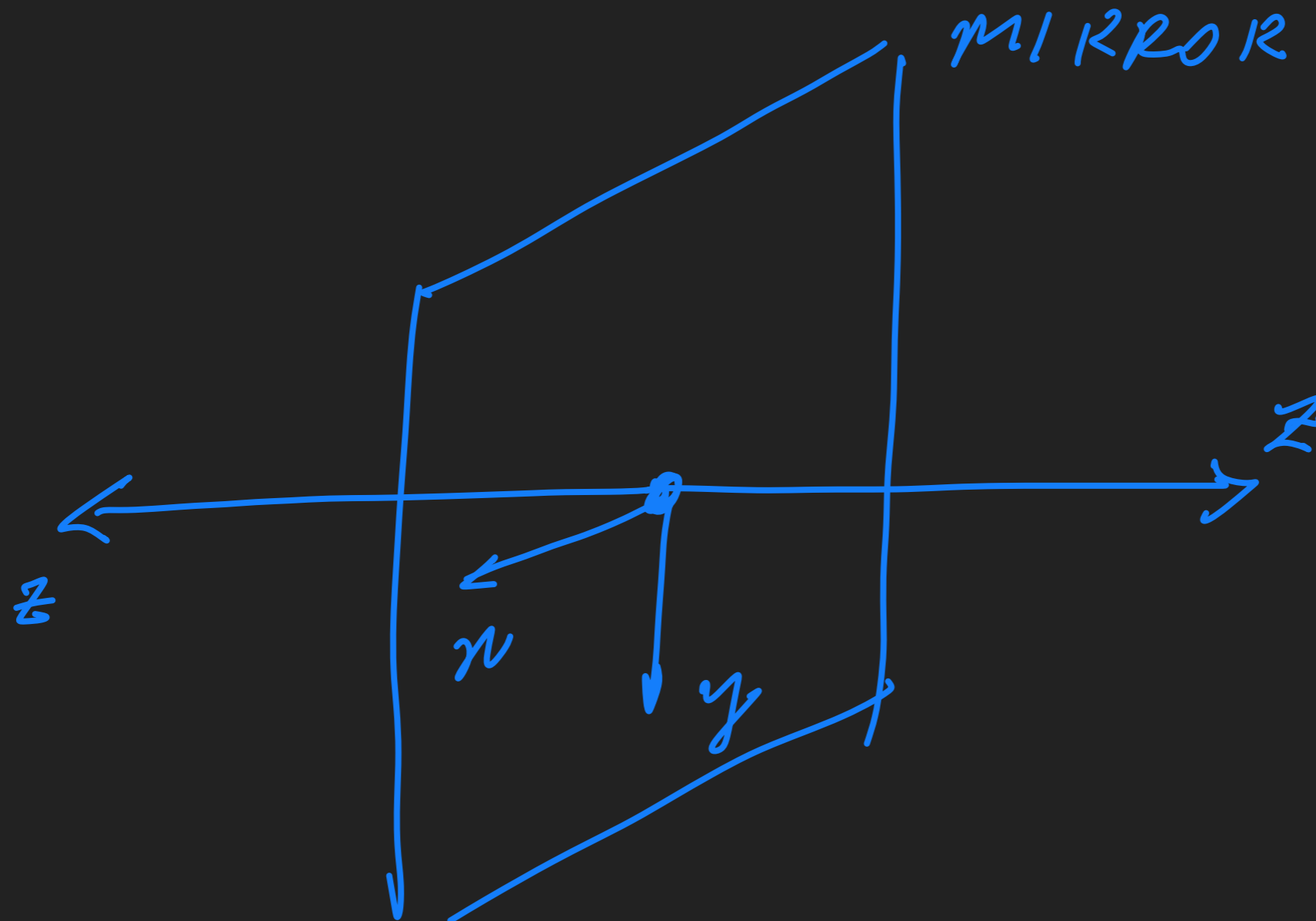
*Also the other three M. equations are parity-invariant, as is the case for gravity law.*

$$m\vec{a} = -\vec{\nabla} \left( G \frac{mM}{r} \right)$$

This equation is invariant under parity because  $\vec{\nabla}$  flips under parity. N.B.  $\vec{F} = m\vec{g}$  breaks parity (so as rotations).



It seems that the *fundamental laws* of physics *do not care about parity* (are parity-invariant), i.e. do not care about left and right, or up and down.



MIRROR:  $\boxed{z \longleftrightarrow -z}$

$$P = \text{Mirror Symmetry} \times R_z(\pi)$$

Since we have rotational invariance, the *mirror* is indeed performing a *parity operation*.

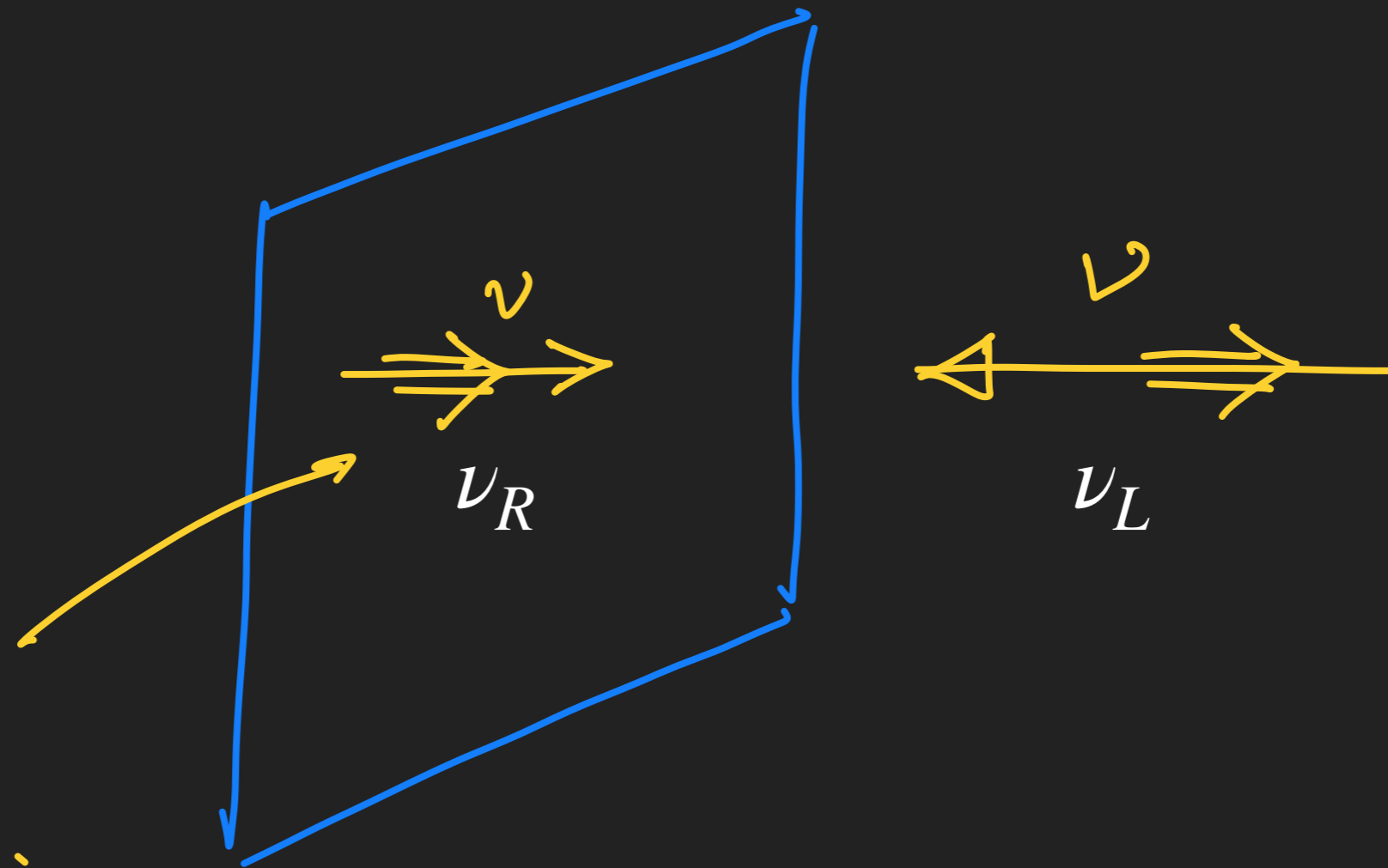
***Is the world in the mirror allowed by physics?***

Imagine a watch with the seconds hand only. Call it 'right' if the hand rotates clockwise (as it is usually the case!). Set the watch in front of a mirror and look in the mirror. You will see a 'left' watch.



# PARITY VIOLATION

A *neutrino* with *left helicity* is sort of a left watch. Let it approach a mirror. The image in the mirror is a *right neutrino*. The neutrino is produced e.g. in nuclear  $\beta$ -decay. Are the laws governing neutrino production invariant under parity? **(CN Yang & TD Lee)**



We don't  
"see" this.

WEAK INTERACTION CARES ABOUT LEFT & RIGHT !!



Neutrinos are neutral particles (almost massless). Despite its name, the `charge conjugation operation  $C$ `, makes changes on neutrinos: their handedness

$(C\nu_L)$  is the anti- $\nu = \bar{\nu}$

$(C\nu_L)$  is  $R \Rightarrow \bar{\nu}_R$

However we still do not know if neutrinos are of the `Dirac-kind`,  $\nu \neq \bar{\nu}$ , or of the `Majorana-kind`,  $\nu = \bar{\nu}$  (same particle in two handedness states). A non-zero mass Majorana neutrino can (rarely) flip its handedness.

Electrons etc., like neutrinos, have handedness too.



*almost* forbidden because neutrino has to be  $L$  and anti-electron has to be  $R$ ,  $e_R^+$  (electron, being almost massless wrt to pion, behaves like  $\nu$  and should be  $L$ ). On the other hand, conservation of angular momentum requires  $e_L^+$  and this *almost* kills the decay.



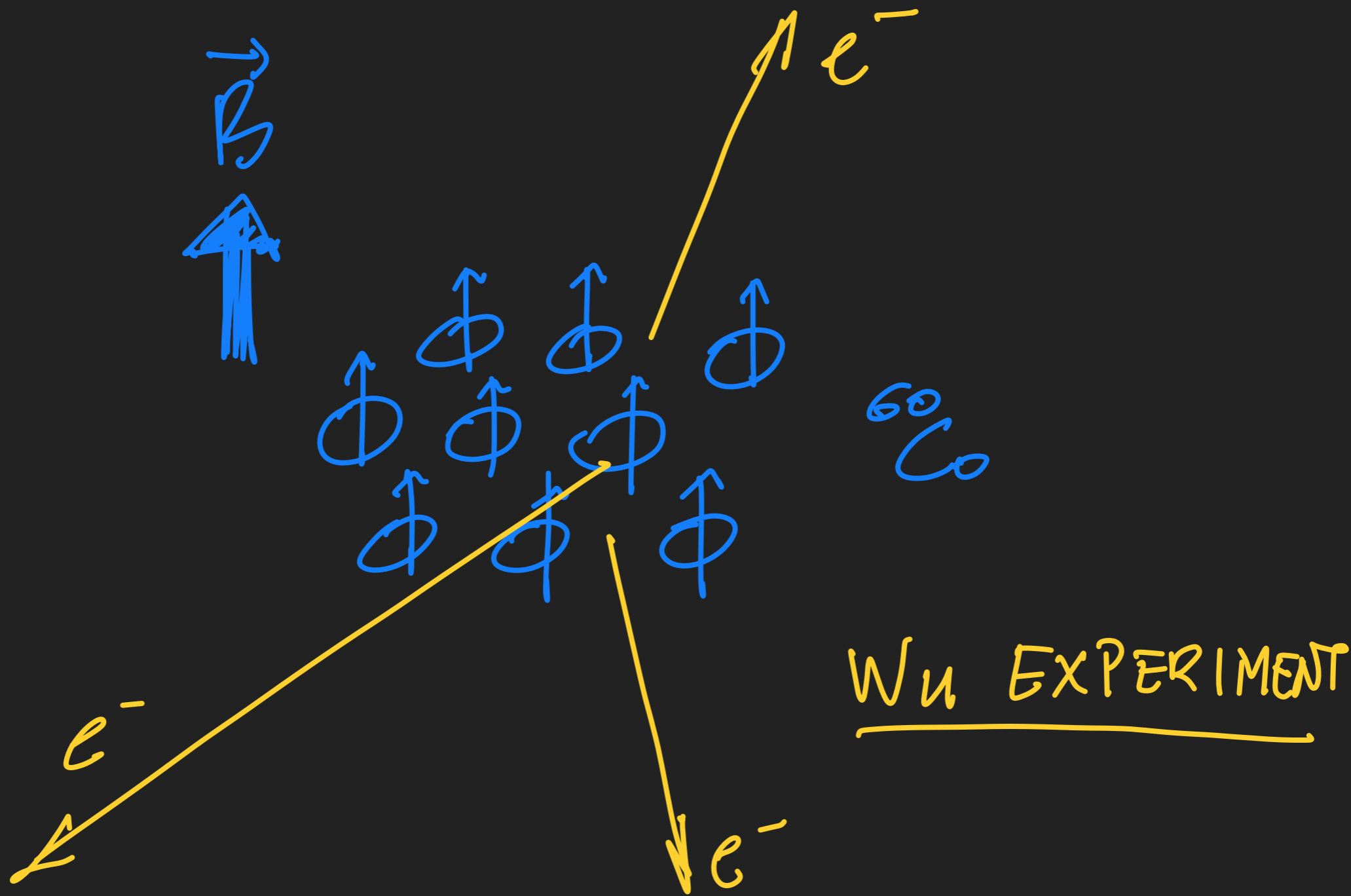
this instead is allowed because  $\mu$  is not much lighter than  $\pi$

All this means we are giving an **absolute** value to the meaning of left/right.

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**“VIOLATION OF A SYMMETRY ARISES  
WHENEVER WHAT WAS THOUGHT TO BE NON-  
OBSERVABLE TURNS OUT TO BE OBSERVABLE!”**

**T.D. Lee**

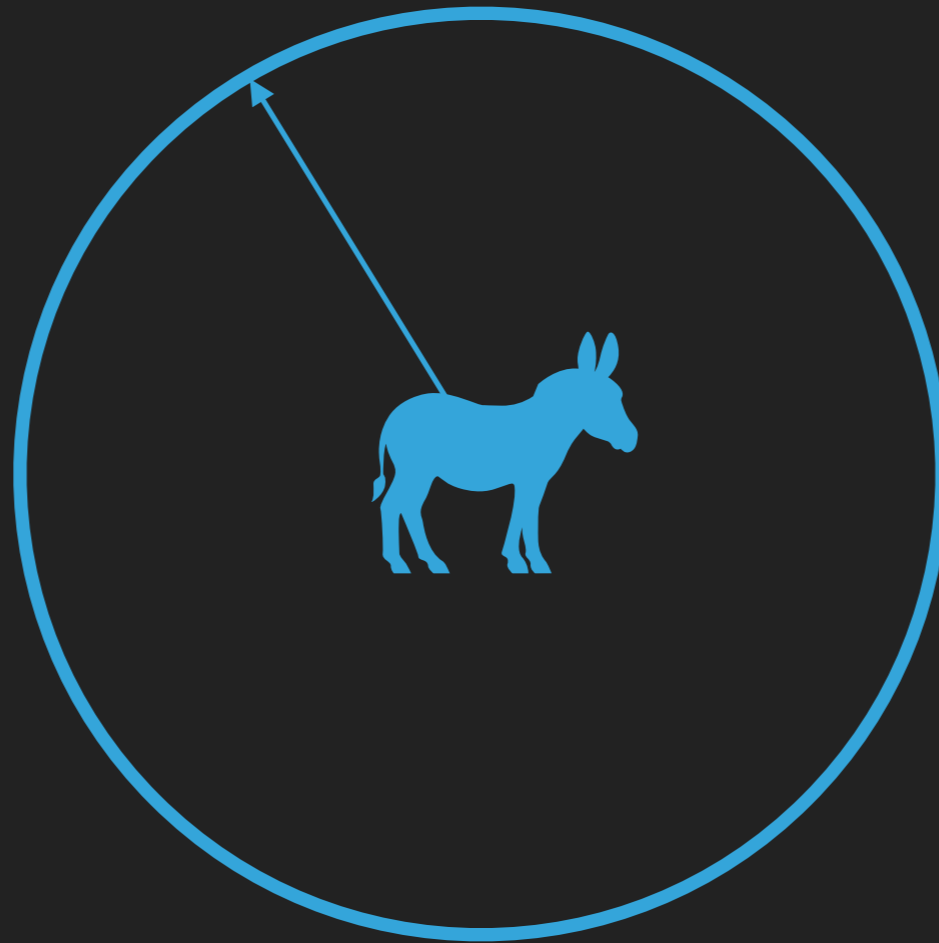


UP-DOWN ASYMMETRY

It looks like the neutrino never had left/right symmetry in the first place. Maybe in the 'final' theory, handedness will be **spontaneously broken** as opposed to the contrived situation in which symmetry is broken explicitly.

## SPONTANEOUS SYMMETRY BREAKING

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food, ~ uniformly distributed  
along the circle – break the  
symmetry or starve...

# CHARGE CONJUGATION



The rate counting below can differentiate  $e^-$  from  $e^+$ . Thus there is an **absolute difference between the opposite signs of charges** even though we are led to think to the sign of electric charge as merely conventional: electron is negative because we happened to assign + to the proton.

$$\frac{\Gamma(K_L^0 \rightarrow e^+ + \pi^- + \nu)}{\Gamma(K_L^0 \rightarrow e^- + \pi^+ + \bar{\nu})} \approx 1.0065$$

This means we are giving an **absolute** value to the meaning of +/- electric charge.

# TIME REVERSAL

Another (discrete) symmetry is *time-reversal*

$$\vec{x} \rightarrow \vec{x} \quad t \rightarrow -t$$

$$\vec{v} = \frac{d\vec{x}}{dt} \rightarrow -\vec{v}$$

$$\vec{a} = \frac{d\vec{v}}{dt} \rightarrow \vec{a}$$

Consider Newton law  $\vec{F} = m\vec{a}$  with  $\vec{F}$  derivable from a potential  $V(\vec{x})$  as  $\vec{F} = -\vec{\nabla} V(\vec{x})$ .

$$m\vec{a} = -\vec{\nabla} V(\vec{x})$$

Is *invariant* under *time-reversal* (not in presence of friction).

There are no mirrors for *time-reversal*: look at the movie backwards



If the fundamental laws of physics are invariant under time-reversal, the motion in the reversed movie is a *possible motion*.

Under time-reversal the charge density  $\rho$  does not change sign. Since  $\vec{\nabla} \cdot \vec{E} = \rho$  then  $\vec{E}(\vec{x}, t) \rightarrow \vec{E}(\vec{x}, -t)$ .

On the other hand

$$\vec{E} = -\vec{\nabla}\phi - \frac{\partial \vec{A}}{\partial t}$$

Thus  $\vec{A}(\vec{x}, t) \rightarrow -\vec{A}(\vec{x}, -t)$  and therefore  $\vec{B}(\vec{x}, t) \rightarrow -\vec{B}(\vec{x}, -t)$  (since one can show that  $\square\phi = -\rho$  in the 'Lorenz gauge').

Thus

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

is *invariant* under *time-reversal!* (so are the other ones)

It seems that the *fundamental laws* of physics *do not care about time-reversal* (are time-reversal-invariant), i.e. the backwards movie is always possible.

However the physics of fundamental interactions is surprising again: there are  $T$ -violations, or  $CP$ -violations ( $CPT$  is conserved) in weak interactions!

These facts are the backbone of the *Standard Model* of particle physics.

In the symmetric phase, electrons, quarks and mediators in the Standard Model are considered massless. Upon spontaneous breaking of the electroweak symmetry they can acquire mass.

## Massless quarks & leptons

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} c \\ s \end{pmatrix}_L \quad \begin{pmatrix} t \\ b \end{pmatrix}_L$$

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$$

## Massive & massless gauge vectors

$$W^\pm, Z^0 \quad \gamma, g_1, \dots, g_8$$

## Higgs particle

$$H^0$$